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PTO SB 05-03-01
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UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No. N9450.0046/P046	
		First Inventor Osamu Komuro	
		Title PROCESS CONDITIONS CHANGE, etc.	
Only for new nonprovisional applications under 37 CFR 1.53.b		Express Mail Label No.	
APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>		ADDRESS TO: Box Patent Application Commissioner for Patents Washington, DC 20231	
<div>1 <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO SB 17) <i>Submit in duplicate with Transmittal Form fee transmittal</i></div> <div>2 <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</div> <div>3 <input checked="" type="checkbox"/> Specification [Total Pages 41] <i>preferred arrangement set forth below</i><ul style="list-style-type: none">- Descriptive title of the invention- Cross Reference to Related Applications- Statement Regarding Fed. sponsored R & D- Reference to sequence listing, a table or a computer program listing appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings, if filed- Detailed Description- Claim(s)- Abstract of the Disclosure</div> <div>4 <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 17]</div> <div>5 Oath or Declaration [Total Pages 4]<div>a <input checked="" type="checkbox"/> Newly executed (original or copy)</div><div>b <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation divisional with Box 18 completed)</i></div><div>c <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</div></div> <div>6 <input type="checkbox"/> Application Data Sheet See 37 CFR 1.76</div>		<div>7 <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program, Appendix</div> <div>8 <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i><div>a <input type="checkbox"/> Computer Readable Form (CRF)</div><div>b <input type="checkbox"/> Specification Sequence Listing on <input type="checkbox"/> CD-ROM or CD-R (2 copies), or <input type="checkbox"/> paper</div><div>c <input type="checkbox"/> Statements verifying identity of above copies</div></div>	
ACCOMPANYING APPLICATIONS PARTS			
<div>9 <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</div> <div>10 <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></div> <div>11 <input type="checkbox"/> English Translation Document (if applicable)</div> <div>12 <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations</div> <div>13 <input checked="" type="checkbox"/> Preliminary Amendment</div> <div>14 <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></div> <div>15 <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></div> <div>16 <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i) Applicant must attach form PTO/SB/35 or its equivalent.</div> <div>17 <input checked="" type="checkbox"/> Other <input type="checkbox"/> Substitute Drawings</div>			
<div>18 If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. _____ <i>Prior application information: Examiner _____ Group / Art Unit _____</i></div> <div>For CONTINUATION or DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</div>			
19. CORRESPONDENCE ADDRESS			
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		<div> 24998</div>	
<input checked="" type="checkbox"/> Correspondence address below			
<div>Name DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Mark J. Thronson</div> <div>Address 2101 L Street NW</div> <div>City Washington State DC Zip Code 20037-1526</div> <div>Country US Telephone (202) 785-9700 Fax (202) 887-0689</div>			
Name (Print/Type) Mark J. Thronson		Registration No. (Attorney Agent) 33,082	
Signature 		Date February 21, 2002	

02/22/02
1051 U.S. PTO

FEE TRANSMITTAL for FY 2002		Complete if Known	
<i>Patent fees are subject to annual revision.</i>		Application Number	Not Yet Assigned
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	Herewith
TOTAL AMOUNT OF PAYMENT (\$)		First Named Inventor	Osamu Komuro
1,144.00		Examiner Name	Not Yet Assigned
		Group Art Unit	N/A
		Attorney Docket No.	N9450.0046/P046

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)																																																																																																																																																																																		
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other None <input checked="" type="checkbox"/> Deposit Account Deposit Account Number: 04-1073 Deposit Account Name: Dickstein Shapiro Morin & Oshinsky LLP The Commissioner is hereby authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>136</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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SUBMITTED BY		Complete (if applicable)	
Name (Print Type):	Mark J. Thronson	Registration No. / Attorney, Agent	33.082
Signature		Telephone	(202) 775-4742
		Date	February 21, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Osamu Komuro, et al.

Application No.: Not Yet Assigned

Group Art Unit: N/A

Filed: Herewith

Examiner: Not Yet Assigned

For: PROCESS CONDITIONS CHANGE
MONITORING SYSTEMS THAT USE
ELECTRON BEAMS, AND RELATED
MONITORING METHODS

SUBSTITUTE DRAWINGS

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Preliminary to examination, please substitute the enclosed drawing for original sheet 7. Figs. 17(a) and 17(b) are renumbered as Figs. 7(a) and 7(b). Favorable examination of the application is solicited.

Dated: February 22, 2002

Respectfully submitted,

By 

Mark J. Thronson

Registration No. 33,082

DICKSTEIN SHAPIRO MORIN &
OSHINSKY LLP

2101 L Street NW

Washington, DC 20037-1526

(202) 785-9700

Attorneys for Applicant